

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: **Hammond, David J.; Lathrop, Julia T.; Cervenakova, Larisa; Carbonell; Ruben G.**

Application No./Patent No.: 10/727,335

Filed/Issue Date: 12/03/2003

Entitled: **PRION PROTEIN LIGANDS AND METHODS OF USE**

North Carolina State University,  
(Name of Assignee)

a University  
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Carbonell, Ruben G. To: North Carolina State University  
The document was recorded in the United States Patent and Trademark Office at Reel 018487, Frame 0114.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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3. From: \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whole title is supplied below) is authorized to act on behalf of the assignee.

X \_\_\_\_\_  
Signature  
X Billy Houghteling  
Printed or Typed Name  
X Interim Director, Office of Technology Transfer  
Title

X June 12, 2007  
Date  
X 919-515-7199  
Telephone Number

SEND TO: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450